

FORM PTO-1390  
REV. 2/01T

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

CUSTOMER NO. 22,852

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

07812.0057-00

U.S. APPLICATION NO.  
(If known, see 37 CFR 1.5)**10/508994**

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/GB03/01759

April 24, 2003

April 24, 2002

## TITLE OF INVENTION

AN OPACIFIED POLYMER COMPOSITION

## APPLICANT(S) FOR DO/EO/US

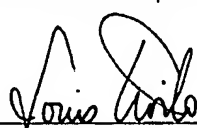
Cesar AGRA-GUTIER-REZ, Howard GOODMAN and Deebea ANSARI

Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau.
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed with the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154 (d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☒ Information Disclosure Statement under 37 CFR 1.97 and 1.98
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A Substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154 (d)(4).
19. ☐ A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).
20. ☒ Other items or information:
  - a. ☒ Copy of cover page of International Publication No. WO 03/091323 A1
  - b. ☐ Copy of Notification of Missing Requirements.
  - c. ☐

|   |              |   |            |  |           |
|---|--------------|---|------------|--|-----------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.51)<br><b>10/508994</b>  |              | INTERNATIONAL APPLICATION NO.<br>PCT/GB03/01759 |            | ATTORNEY'S DOCKET<br>NUMBER<br>07812.0057-00   |           |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b>  |              |   |            | CALCULATIONS PTO USE ONLY  |           |
| Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO ..... \$1080.00   |              |   |            |  |           |
| International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00   |              |   |            |  |           |
| International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00  |              |   |            |  |           |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00   |              |   |            |  |           |
| International preliminary examination fee (37 CFR 1.482) paid to<br>USPTO and all claims satisfied provisions of PCT Article 33 (1)-(4) ..... \$100.00  |              |   |            |  |           |
| ENTER APPROPRIATE BASIC FEE AMOUNT =  |              |   |            | \$2,000.00   |           |
| Surcharge of \$130.00 for furnishing the oath or declaration later than<br>months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30   |              |   |            | \$   |           |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA                                    | RATE       |  |           |
| Total Claims  | 48           | - 20 =  | 28         | x \$18.00  | \$ 504.00 |
| Independent Claims  | 6            | - 3 =   | 3          | x \$86.00  | \$ 258.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   | + \$290.00 | \$   |           |
| TOTAL OF THE ABOVE CALCULATIONS =   |              |   |            | \$2,762.00   |           |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |   |            | \$   |           |
| SUBTOTAL =  |              |   |            | \$   |           |
| Processing fee of \$130.00 for furnishing the English translation later than<br>months from the earliest priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30   |              |   |            | \$   |           |
| TOTAL NATIONAL FEE =  |              |   |            |  |           |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by<br>an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +   |              |   |            | \$   |           |
| TOTAL FEES ENCLOSED =   |              |   |            | \$2,762.00   |           |
|   |              |   |            | Amount to be<br>refunded:  | \$        |
|   |              |   |            | charged:   | \$        |
| a. <input checked="" type="checkbox"/> A check in the amount of <u>\$2,762.00</u> to cover the above fees is enclosed.  |              |   |            |  |           |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.<br>A duplicate copy of this sheet is enclosed.  |              |   |            |  |           |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to<br>Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed.              |              |   |            |  |           |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card<br>information should not be included on this form. Provide credit card information and authorization on PTO-2038. |              |   |            |  |           |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b))<br>must be filed and granted to restore the application to pending status.   |              |   |            |  |           |
| SEND ALL CORRESPONDENCE TO:<br>Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.<br>1300 I Street, N.W.<br>Washington, D.C. 20005-3315   |              |   |            |  |           |
| DATED: September 27, 2004   |              |   |            | <br>SIGNATURE<br>Louis M. Troilo, Reg. No. 45,284<br>NAME/REGISTRATION NO. |           |